

**RFP – LC000068 SCRATCH-OFF TICKET PRINTING AND RELATED  
SERVICES Q&A #11**

**1. RFP Section 3.12, Background Investigations during the Contract Term, Page 48  
–49**

**Question:** Section 3.12 states that the Individual Search Fee applies to “each officer and director of Responding Contractor and for each shareholder ... who owns an interest of five percent (5%) or more in Responding Contractor.” The RFP and subsequent Q&A responses also indicate that Attachment D – Acknowledgement and Authorization for Background Check should be completed for individuals working on the contract.

Will the Lottery please confirm:

- a. Whether Attachment D forms are required for all identified key personnel/employees who will work on the NCEL account; and
- b. Whether the \$250 Individual Search Fee is required only for officers, directors, and 5%+ owners, and not for all employees/key personnel submitting Attachment D forms?

**Answer:** *Attachment D forms are required for all identified key personnel/employees who will work on the NCEL account, and the \$250 Individual Search Fee must be submitted for each individual for whom an Attachment D is submitted.*

**2. RFP Section 4.3 – Financial Data, Item 4 (Page 77)**

**Question:** Section 4.3(4) requests: “All financial reports filed within the past 12 months with a governmental entity where the Responding Contractor is located or is conducting business.”

To ensure a complete and responsive submission, will the Lottery please clarify the types of reports and governmental filings it is seeking under this requirement?

Specifically, please confirm whether this request is intended to include only material financial or regulatory filings (for example, tax, licensing, gaming regulatory, or statutory financial filings) submitted in the Responding Contractor’s headquarters jurisdiction and/or North Carolina, or whether the Lottery expects

submission of all filings containing financial information submitted to governmental entities in any jurisdiction where the Responding Contractor conducts business.

In addition, please confirm whether filings already provided pursuant to Sections 4.3(1) – (3) may be referenced rather than duplicated under Item 4.

**Answer:** *All filings containing financial information submitted to governmental entities in every jurisdiction where the Responding Contractor conducts business must be submitted. Filings provided pursuant to Sections 4.3(1) – 4.3(3) may be referenced rather than duplicated under Section 4.3(4).*